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04-02-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

MPT-001

Total Pages

36

First Inventor or Application Identifier

Bryan G. Yamamoto

Title

DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE

Express Mail Label No.

EL597198639US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☒ Applicant Claims small entity status.
See 37 C.F.R. § 1.27.
- ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed-Sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]
- ☒ Oath or Declaration [Total Pages 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 C.F.R. § 1.76

- ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS) PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☒ Other: Transmittal Of CD-ROM; and Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____/

Prior application information: Examiner _____

Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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Edward S. Mao

Registration No. (Attorney/Agent)

40,713

Signature

Edward S. Mao

Date

03/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

| | | | |
|--|--|--------------------------------|-------------------|
| <div>03/30/01</div> <div>96 S. PTO</div> <div>FEE TRANSMITTAL</div> <div>for FY 2001</div> <div>Patent fees are subject to annual revision.</div> | | Complete if Known | |
| | | Application Number | |
| | | Filing Date | 03/30/2001 |
| | | First Named Inventor | Bryan G. Yamamoto |
| | | Examiner Name | |
| Group/Art Unit | | | |
| TOTAL AMOUNT OF PAYMENT | | (\$ 440.00) | |
| Attorney Docket Number | | MPT-001 | |
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | 3. ADDITIONAL FEES | |
| Deposit Account Number: 50-0574 (Docket No. MPT-001) | | Large Fee Code Entity Fee (\$) | |
| Deposit Account Name: Bever, Hoffman & Harms | | Small Fee Code Entity Fee (\$) | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 | | Fee Description | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27 | | Fee Paid | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: | | | |
| <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | |
| FEE CALCULATION | | | |
| BASIC FILING FEE | | | |
| Large Fee Code Entity Fee (\$) | | | |
| Small Fee Code Entity Fee (\$) | | | |
| Fee Description | | | |
| Fee Paid | | | |
| #01 710 201 355 Utility filing fee | | 355.00 | |
| #06 320 206 160 Design filing fee | | | |
| #07 490 207 245 Plant filing fee | | | |
| #08 710 208 355 Reissue filing fee | | | |
| #14 150 214 75 Provisional filing fee | | | |
| SUBTOTAL (1) (\$) | | 355.00 | |
| EXTRA CLAIM FEES | | | |
| Extra Claims | | Fee from below | |
| Fee Paid | | | |
| Total Claims 25 -20** = 5 x 9.00 = 45.00 | | | |
| Independent Claims 2 -3** = 0 x 18.00 = 00.00 | | | |
| Multiple Dependent = 00.00 | | | |
| **or number previously paid, if greater; For Reissues, see below | | | |
| Large Fee Code Entity Fee (\$) | | | |
| Small Fee Code Entity Fee (\$) | | | |
| Fee Description | | | |
| 103 18 203 9 Claims in excess of 20 | | | |
| 102 80 202 40 Independent claims in excess of 3 | | | |
| 104 270 204 135 Multiple dependent claim, if not paid | | | |
| 109 80 209 40 **Reissue independent claims over original patent | | | |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | | | |
| SUBTOTAL (2) (\$) | | 45.00 | |
| SUBMITTED BY | | Complete (if applicable) | |
| Name (Print/Type) Edward S. Mao | | Registration No. 40.713 | |
| Signature Edward Mao | | Telephone (408) 451-5904 | |
| | | Date 03/30/2001 | |

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| | | |
|---|-----------------|--|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i) [Non-Publication Request] | First Inventor | Bryan G. Yamamoto |
| | Title | DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE |
| | Attorney Docket | MPT-001 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

March 30, 2001
Date

Edward Mao
Edward S. Mao, Reg. No. 40,713

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. § 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. § 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. § 122 and 37 C.F.R. § 1.14. This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty. Docket No.: MPT-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Bryan G. Yamamoto
Application No.: Filed Herewith
Filing Date: 03/30/2001
Title: "DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE"

Date: March 30, 2001

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington D.C. 20231

TRANSMITTAL OF CD ROM

Transmitted with the new application identified above is a CD-ROM (2 identical copies) containing:

computer program listing
☒ IBM-PC formatted
☒ MS-Windows operating system

A list of files contained on the CD-ROM is as follows:

Name: toc.js (JScript File)
File Size: 15.3KB (15,718 bytes)
Size on Disk: 16KB (16,384 bytes)
Creation Date: 03/27/2001 (copied to CD-ROM on 03/30/2001)

Edward Mao

SIGNATURE OF PRACTITIONER

Edward S. Mao

Reg. No. 40,713

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Fax No.: (408) 451-5908
Customer No.: 22888

BEVER, HOFFMAN & HARMS, LLP
2099 Gateway Place, Suite 320
San Jose, CA 95110-1017

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL, airmail, No. EL597198639US, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C., 20231-0001, on 03/30/2001.

Date: 3-30-2001 Signature: Carrie Reddick